Attorney Docket: 2702P



#### CERTIFICATE OF MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Office of Initial Patent Examination, Filing Receipt Correction, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 6, 2003.

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: October 6, 2003

Ka Shun Kevin FUNG

Serial No.: 10/600,137

Group Art Unit: 3624

Filed: June 20, 2003

Examiner: To Be Assigned

METHOD AND SYSTEM FOR IMPROVING THE LIQUIDITY For:

TRANSACTIONS FOR PM POOLS AN AUCTIONS

Office of Initial Patent Examination Filing Receipt Correction Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## REOUEST FOR CORRECTED FILING RECEIPT

Sir:

Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

In the Title section, please remove "improvig" and replace with --improving--.

Since this was a USPTO error, Applicant believes there is no fee due at this time. However, the Commissioner is hereby authorized to charge any fees or credit any overpayment associated with this communication to Deposit Account 02-2120 (Sawyer Law Group LLP).

Respectfully submitted,

SAWYER LAW GROUP LLP

October 6, 2003

Date

Joseph A. Sawvert

Attorney for Applicant

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OCT 1 - 2003

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.unpto.gov

FILING OR 371 (c) DATE APPL NO. ART UNIT FIL FEE REC'D ATTY.DOCKET NO DRAWINGS TOT CLMS IND CLMS 10/600,137 06/20/2003 3624 834 2702P 57 6

CONFIRMATION NO. 5587

FILING RECEIPT

\*OC00000010866670\*

Date Mailed: 09/12/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ka Shun Kevin Fung, Hong Kong, HONG KONG;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/389,956 06/20/2002

Foreign Applications

If Required, Foreign Filing License Granted: 09/11/2003

**Projected Publication Date: 12/25/2003** 

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Method and system for improving the liquidity of transactions for PM pools and auctions

**Preliminary Class** 

IMPROVING

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## TRANSMITTAL FORM

Attorney Docket No. <u>2702P</u>

the application: Ka Shun Kevin FUNG

al No: **10/600,137** 

Group Art Unit: 3624

Filed: **June 20, 2003** 

Examiner: To Be Assigned

For: Method and System for Improving the Liquidity of Transactions for PM Pools and Auctions											
ENCLOSURES (check all that apply)											
	Amendment/Reply					Assignment and Recordation Cover Sheet			After Allowance Communication to Group		
	After Final					Part B-Issue Fee Tr		Appeal Communication to Board of Appeals and Interferences			
	Information disclosure statement					Letter to Draftsman			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
		Form 1449				Drawings			Status Letter		
		(X) Copies of References				Petition			Postcard		
	Extension of Time Request *					Fee Address Indica	Fee Address Indication Form		Other Enclosure(s) (please identify below):		
	Express Abandonment					Terminal Disclaimer			Request for Corrected Filing Receipt     Copy of Marked-Up Filing Receipt		
	Certified Copy of Priority Doc					Power of Attorney an Revocation of Prior F	SSET STANLINGS SPITTING TOOSIPE				
	Resp	Response to Incomplete Appln				Change of Correspon	ndence				
	Response to Missing Parts				*Extension of Term: Pursuant to 37 CFR 1.136, Applicant petitions the						
	Executed Declaration by Inventor(s)			Commissioner to extend the time for response for xxxxxx month(s), from to .							
CLAIMS											
				Claims Remaining After Amendment		Highest # of Claims Previously Paid For	Extra Claims		RATE	FEE	
	Total Claims			57		57	0		\$9.00	\$ 0.00	
Indep	ndependent Claims 6			6	L	6	0		\$43.00 Total Fees	\$ 0.00 \$ 0.00	
METHOD OF PAYMENT											
	Check no in the amount of \$ is enclosed for payment of fees.										
	Charge \$ to Deposit Account No (Account Holder Name) for payment of fees.										
	Charge any additional fees or credit any overpayment to Deposit Account No. 02-2120 (Sawyer Law Group LLP).										
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT											
Attorney Name Joseph A. Sawyer, Jr., Reg. No. 40,095											
Signature Common for all											
Date October 6, 2003											
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